

Docket No.
70009590-015

Declaration For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on February 16, 1999 as United States Application No. or PCT International Application Number 09/251,133 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/074.809

17 February 1998

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)


(Status)
(patented, pending, abandoned)

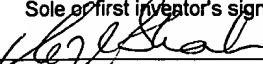
(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


Girish V. Shah

Full name of sole or first inventor Girish V. Shah	
Sole or first inventor's signature 	Date 4/27/99
Residence 5707 Mary Dell Drive, Amarillo, Texas 79109	
Citizenship U.S.	
Post Office Address Same as above.	

Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of third inventor, if any	
Third inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
POWER OF ATTORNEY

Docket No.
70009590-001

Name of Applicant: **Girish V. Shah**
Address of Applicant: **5707 Mary Dell Drive, Amarillo, Texas 79109**

Title: **NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME**
Serial No., If Any: **09/251,133**
Filed: **February 16, 1999**

TO THE ASSISTANT COMMISSIONER FOR PATENTS

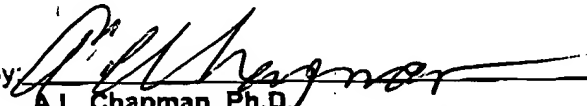
The Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:
I hereby appoint:

David G. Rosenbaum, Reg. No. 31,872
Howard B. Rockman, Reg. No. 22,190
Joseph A. Mahoney, Reg. No. 38,956
Arjun S. Sanga, Reg. No. 40,797
Jennifer H. Hammond, Reg. No. 41,814
Jordan A. Sigale, Reg. No. 39,028
Lana M. Knedlik, Reg. No. 42,748
of the firm SONNENSCHN NATH & ROSENTHAL

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:
David G. Rosenbaum, Esq.
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By: 
A.L. Chapman, Ph.D.
Vice Chancellor for Academic Affairs
UNIVERSITY OF KANSAS MEDICAL CENTER
Assignee

Dated: 3-22-99

Applicant or Patentee: Girish V. Shah
 Serial or Patent No.: 09/251,133
 Filed or Issued: February 16, 1999
 For:

Attorney Docket No: 70009590-001



NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) AND 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: University of Kansas Medical Center
 ADDRESS OF ORGANIZATION: 3901 Rainbow Boulevard, Kansas City, Kansas 66160-7702

TYPE OF ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501 (c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE U.S. OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 use 501 (a) and 501 (c)(3))
 IF LOCATED IN UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NON PROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE UNITED
 STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office with regard to the invention entitled:

NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME

by inventor(s) Girish V. Shah

described in:

- ☐ The specification filed herewith.
☒ Application Serial No.: 09/251,133, filed February 16, 1999
☐ Patent No.: _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: A.L. Chapman, Ph.D.
 TITLE IN ORGANIZATION: Vice Chancellor for Academic Affairs
 ADDRESS OF PERSON SIGNING: 3901 Rainbow Boulevard, Kansas City, Kansas 66160-7702

SIGNATURE: _____

DATE: 3-22-99

Applicant or Patentee: Girish V. Shah Attorney Doc. No. 700095900005
 Serial or Patent No.: _____
 Filed or Issued: Filed February 17, 1998
 For: NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
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 () NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
 () WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3) IF LOCATED IN UNITED STATES OF AMERICA
 () WOULD QUALIFY AS NON PROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office with regard to the invention entitled NEUROENDOCRINE MARKER OF PROSTATE CANCER AND METHOD FOR PRODUCING SAME by inventor(s) Girish V. Shah described in:

- (X) the specification filed herewith.
 () application serial no. _____, filed _____
 () patent no. _____, issued _____

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NAME _____
 ADDRESS _____
 () INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

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NAME OF PERSON SIGNING: A.L. Chapman, Ph.D.
 TITLE IN ORGANIZATION: Vice Chancellor for Academic Affairs
 ADDRESS OF PERSON SIGNING: 3901 Rainbow Boulevard, Kansas City, Kansas 66160-7702

SIGNATURE:  DATE 2-17-98